



2611

Attorney Docket No. 20429/9-C

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 01 2002

Technology Center 2600

APPLICANT: Seth Haberman et al.

Application No.: 09/841,465

Group Art Unit: 2611

FILED: April 24, 2001

Examiner: not yet assigned

FOR: *SYSTEM AND METHOD FOR SIMULTANEOUS BROADCAST FOR  
PERSONALIZED MESSAGES*

\*\*\*\*\*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on:

By:

*June E. Kaps*  
June E. Kaps

Date

*Feb 12, 2002*

\*\*\*\*\*

**INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION AFTER FILING OF AN APPLICATION  
BUT BEFORE THE MAILING DATE OF A FIRST OFFICE ACTION  
ON THE MERITS)**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.



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I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- a. X      **Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.**

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

- a. X      Except as may be indicated below in (b), **all of the patents, publications or other information are in the English language** or were cited in an English language Search Report, a copy of which is attached hereto **(concise explanation not required).**
- b. \_\_\_\_\_      A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. \_\_\_\_\_      The following additional information is provided for the Examiner's consideration:



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**FEES**

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)

(check one box)

- a. \_\_\_\_\_ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. \_\_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c.  X  **before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required.** In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account 500369 a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):

(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. \_\_\_\_\_ No certification; therefore, a fee in the amount of \$240.00 is required by 37 C.F.R. . § 1.17(p).

or

- b. \_\_\_\_\_ See the certification below. No fee is required.



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VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a.        each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b.        no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c.   X   Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

       Please charge Deposit Account No. 500369 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

  X   No fee is required.



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VII. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.704(d) (PATENT TERM  
ADJUSTMENT)

Applies to original applications (other than design) filed on or after May 29, 2000

- a. ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- b. ☒ Enclosed herewith is form PTO-1449.
- c. ☒ Copies of cited references are enclosed.
- d. ☒ Some of the listed references were cited in the enclosed International Search Report in a counterpart foreign application.



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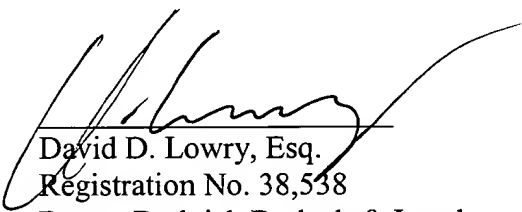
Filed: April 24, 2001

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If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 500369.

Respectfully submitted,

Date: 2/12/02

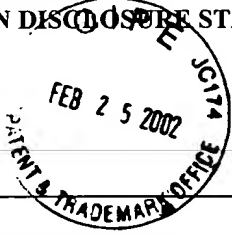
  
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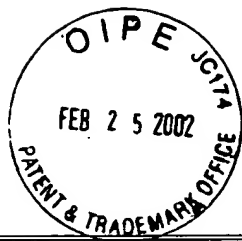
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<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				<b>ATTY DOCKET NO.</b> 20429/9-C		<b>SERIAL NO.</b> 09/841,465	
				<b>APPLICANT(S):</b> Seth Haberman et al.			
				<b>FILING DATE:</b> April 24, 2001		<b>ART UNIT:</b> 2611	
<b>UNITED STATES PATENT DOCUMENTS</b>							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
	AA	5,253,940	10/19/93	Abecassis	400	495	
	AB	5,291,395	03/01/94	Abecassis	364	401	
	AC	5,356,151	10/18/94	Abecasssis	273	243	
	AD	5,414,455	05/09/95	Hooper et al.	348	7	
	AE	5,422,468	06/06/95	Abecasssis	235	380	
	AF	5,426,281	06/20/95	Abecassis	235	379	
	AG	5,434,678	07/18/95	Abecassis	358	342	
	AH	5,442,390	08/15/95	Hooper et al.	348	7	
	AI	5,515,098	05/07/96	Carles	348	8	
	AJ	5,585,858	12/17/96	Harper et al.	348	485	
	AK	5,610,653	03/11/97	Abecassis	348	110	
	AE	5,634,849	06/03/97	Abecassis	463	30	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
<b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>							
<b>Examiner:</b>				<b>Date:</b>			



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				APPLICANT(S): Seth Haberman et al.			
				FILING DATE: April 24, 2001		ART UNIT: 2611	
UNITED STATES PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
	AM	5,671,225	09/23/97	Hooper et al.	370	468	
	AN	5,684,918	11/04/97	Abecassis	386	83	
	AO	5,696,869	12/09/97	Abecassis	386	52	
	AP	5,717,814	02/10/98	Abecassis	386	46	
	AQ	5,724,472	03/03/98	Abecassis	386	52	
	AR	5,754,939	05/19/98	Herz et al.	455	4.2	
	AS	5,774,170	06/30/98	Hite et al.	348	9	
	AT	5,778,181	07/07/98	Hidary et al.	395	200.48	
	AU	5,835,087	11/10/98	Herz et al.	345	327	
	AV	5,861,881	01/19/99	Freeman et al.	345	302	02/08/96
	AW	5,991,735	11/23/99	Gerace	705	10	08/11/98
	AX	6,002,393	12/14/99	Hite et al.	345	327	08/22/95
	AY	6,029,045	02/22/00	Picco et al.	455	5.1	12/09/97
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
Examiner:					Date:		